Table of Contents

TABLE OF CONTENTS 4
VITA 6
ACKNOWLEDGEMENTS 7
DEDICATION 8
LIST OF FIGURES 9
LIST OF TABLES 11
LIST OF ABBREVIATIONS 12
ABSTRACT 14

CHAPTER 1: INTRODUCTION 16

1.1 BACKGROUND 16
1.2 PROBLEM STATEMENT 23
1.3 RESEARCH METHODOLOGY 24
1.4 ORGANIZATION 27

CHAPTER 2: ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER 29

2.1 CONSTRUCTION 29
2.2 BEAM PATTERN FORMULATION 30
2.3 ORTHOGONAL BEAMS IN SPATIAL SPACE 38
2.4 BEAMSPACE MATRIX AND BEAMSPACE WEIGHT VECTOR 43
2.5 ADAPTATION OF BEAMSPACE WEIGHT VECTOR 46

CHAPTER 3: BEAM PATTERN EVOLUTION AND BEAMSPACE WEIGHT VECTOR CONVERGENCE 50

3.1 SYSTEM MODEL 50
3.1.1 Transmit data signal 51
3.1.2 Static channel 52
3.1.3 Received data signal 52
3.2 COST FUNCTION/PERFORMANCE METRIC 53
3.3 SYSTEM IMPLEMENTATION OF BEAMSPACE WEIGHT VECTOR ADAPTATION 55
3.3.1 At the base station transmitter 55
3.2.2. At the mobile receiver 57
3.3.3 Returning to the base station transmitter 59
3.4 BEAM PATTERN EVOLUTION AND CONVERGENCE OF BEAMSPACE WEIGHT VECTOR 59
3.4.1 Single multipath channel 62
3.4.2 Double multipath channel 63
3.4.2 Triple multipath channel 68
3.5 BEAM PATTERN AND WEIGHT VECTOR COMPARISONS BETWEEN ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER AND ELEMENT SPACE TRANSMIT BEAMFORMER 71

CHAPTER 4: PERFORMANCE ANALYSIS IN STATIC AND DYNAMIC CHANNELS 76

4.1 EIGEN ANALYSIS OF A STATIC CHANNEL CORRELATION MATRIX 76
4.2 STATIC CHANNEL PERFORMANCE OF A FULL DIMENSIONAL ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER 78
4.3 PERFORMANCE OF A REDUCED DIMENSION ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER IN STATIC CHANNEL 79
4.3.1 Implementation 79
4.3.2 Beam pattern and beamspace weight vector convergence 85
4.3.3 Performance comparisons 87

4.4 DYNAMIC CHANNEL 91
4.5 PERFORMANCE ANALYSIS IN A DYNAMIC CHANNEL 93
4.6 PERFORMANCE METRIC SIMULATION IN DYNAMIC CHANNEL 101

CHAPTER 5: PERFORMANCE IMPROVEMENT IN DYNAMIC CHANNELS 108

5.1 BIT ERROR RATE SIMULATIONS AND ANALYSIS 109
5.1.1 Bit error rate studies on variation of the adaptation rate parameter at constant signal to noise ratio 109
5.1.2 Bit error rate studies on variation of signal to noise ratio at a constant adaptation rate parameter 116
5.2 SPACE TIME CODED ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER 121
5.2.1 Transmit signal vector 123
5.2.2 Received signal 126
5.3 COST FUNCTION OF SPACE TIME CODED ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER 128
5.4 SYSTEM IMPLEMENTATION OF BEAMSPACE WEIGHT VECTOR ADAPTATION IN SPACE TIME CODED ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER 133
5.4.1 At the base station transmitter 133
5.4.1 At the mobile receiver 135
5.4.3 Returning to the base station transmitter 137
5.5 CHANNEL INDEPENDENCE 138
5.6 PERFORMANCE OF SPACE TIME CODED ADAPTIVE TRANSMIT BEAMSPACE BEAMFORMER IN DYNAMIC CHANNELS 143
5.6.1 Bit error rate studies on varying adaptation rate parameter at constant signal to noise ratio 143
5.6.2 Bit error rate studies on varying signal to noise ratio at constant values of the adaptation rate parameter 146
5.6.3 Identification of beamforming and diversity gain in the bit error rate performance 149

CHAPTER 6: CONCLUSION 153

REFERENCES 164

APPENDIX A: PUBLISHED PAPERS 170